



Chain of Responsibility Training Course Breach Report and Accompanying Activities

Applicable Units of Competency:

- TLIF0001 Apply chain of responsibility legislation, regulations and workplace procedures
- TLIF0002 Administer chain of responsibility policies and procedures

Instructions (Please read carefully):

1. Print out all 8 pages of this document
2. Complete the details below.
3. Complete the Breach Reports relating to each scenario presented to you in the online content



Important Notes:

Ensure you think carefully about each breach.

- Think of everyone who contributed to the breach (it may be more than just the person you first think of, there may be others who did not fulfil their CoR obligations)
 - Think about the location and timing of the breach (if known).
When and where did the breach of CoR obligations actually occur? (This is not necessarily the time or place where the breach was discovered)
4. Complete the questions after each breach report (these questions are about the role you can play in preventing breaches of CoR obligations including breaches by other parties in the supply chain)
 5. Complete the **Student / Learner Declaration on page 8**
 6. Scan the entire document and email to elearning@astragroup.com.au

Note: Please ensure your scanned copy is clear and aligned straight.

Full Name:	
Date of Birth:	
Employer Name:	

Dommoc's Steel CoR Breach Report Form	Heavy Vehicle Chain of Responsibilities (CoR) Training Document Only
	Breach Report No. DSB 000122

Non-compliance / Breach Report Summary			
Your Name:	Brad Tomzille		
Company Name:	Dommoc's Steel		
Your Position:	Head Scheduler	Date of breach:	
Reported to:	Manager	Time of breach: <i>(if known)</i>	
Position:	Manager	Time reported:	

Persons involved in the Breach		
Names and positions of person(s) involved in the non/compliance breach:	Name:	Position:

Non-compliance / Breach Details																									
Please answer the following questions relating to this non-compliance / breach of Chain of Responsibilities obligation according to the NVNL.																									
What does the non/compliance breach relate to? Select the most appropriate description of this non/compliance breach. Choose multiple if applicable.	<table border="0"> <tr> <td>Driver Fatigue</td> <td><input type="checkbox"/></td> <td>Driver Logbook Issues</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Delivery time frame</td> <td><input type="checkbox"/></td> <td>Load dimensions/limits</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Load restraint</td> <td><input type="checkbox"/></td> <td>Inappropriate vehicle</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Vehicle maintenance</td> <td><input type="checkbox"/></td> <td>Vehicle Defects</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Scheduling</td> <td><input type="checkbox"/></td> <td>Speeding</td> <td><input type="checkbox"/></td> </tr> <tr> <td colspan="4">Other <input type="checkbox"/> (please explain):</td> </tr> </table>	Driver Fatigue	<input type="checkbox"/>	Driver Logbook Issues	<input type="checkbox"/>	Delivery time frame	<input type="checkbox"/>	Load dimensions/limits	<input type="checkbox"/>	Load restraint	<input type="checkbox"/>	Inappropriate vehicle	<input type="checkbox"/>	Vehicle maintenance	<input type="checkbox"/>	Vehicle Defects	<input type="checkbox"/>	Scheduling	<input type="checkbox"/>	Speeding	<input type="checkbox"/>	Other <input type="checkbox"/> (please explain):			
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Other <input type="checkbox"/> (please explain):																									
Location of Breach: <i>(Where did the breach occur?)</i>																									
Briefly explain the non/compliance breach: Include any applicable: <ul style="list-style-type: none"> • injuries • vehicle details • equipment damage • other important details 																									

Please continue this report over page.

How did you identify this non/compliance breach?

Recommendations to prevent future occurrence:

End of Breach Report

Questions relating to Fatigue Management

Note: These questions are NOT part of the Breach Reports

Question 1.

Relating to your own real-life role within the heavy vehicle transport industry, what are you CoR obligations relating to the fatigue management of yourself or drivers you work with.

Provide a response to questions A) and B) below.

A) How can you manage your own fatigue and ensure you are fit for work?

B) How can you play a role in ensuring drivers do not operate heavy vehicles while fatigued?

ABK Transport CoR Breach Report Form	Heavy Vehicle Chain of Responsibilities (CoR) Training Document Only
	Breach Report No. ABK-B000380

Non-compliance / Breach Report Summary			
Your Name:	Sean Gawward		
Company Name:	ABK Transport		
Your Position:	Driver / Operator	Date of breach:	
Reported to:		Time of breach: (if known)	
Position:		Time reported:	

Persons involved in the Breach		
Names and positions of person(s) involved in the non/compliance breach:	Name:	Position:

Non-compliance / Breach Details																									
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Please continue this report over page.

How did you identify this non/compliance breach?	
Recommendations to prevent future occurrence:	
End of Breach Report	

Questions relating to loading and unloading of items
Note: These questions are NOT part of the Breach Reports

Question 2.

Even if you are not a packer, loader or unloader, you can still play an important role in ensuring heavy vehicles are operated in a compliant manner.

Relating to your own real-life role within the heavy vehicle transport industry, what are you CoR obligations relating to the security and correct placement of loads.

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Haggunze Supplies CoR Breach Report Form	Heavy Vehicle Chain of Responsibilities (CoR) Training Document Only
	Breach Report No. HS002089

Non-compliance / Breach Report Summary			
Your Name:	Ron Ronalton		
Company Name:	Haggunze Supplies		
Your Position:	Sales Manager	Date of breach:	
Reported to:		Time of breach: <i>(if known)</i>	
Position:		Time reported:	

Persons involved in the Breach		
Names and positions of person(s) involved in the non/compliance breach:	Name:	Position:

Non-compliance / Breach Details																									
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Please continue this report over page.

How did you identify this non/compliance breach?	
Recommendations to prevent future occurrence: 	
End of Breach Report	

Questions relating to vehicle standards, and speeding.
Note: These questions are NOT part of the Breach Reports

Question 3a.

Relating to your own real-life role within the heavy vehicle transport industry, what are you CoR obligations relating to the management of vehicle standards.

How can you play a role in ensuring vehicle standards are maintained or that defective vehicles are prevented from being used?

Question 3b.

Relating to your own real-life role within the heavy vehicle transport industry, what are you CoR obligations relating to the management of driver speed.

How can you play a role in preventing heavy vehicle operators from speeding?

Student / Learner Declaration

I (print name), _____ declare, that although I have assumed the roles of the scenario based characters in each of the three scenarios presented in this document, all information entered into each breach report (and associated questions) is my own personal response.

All responses to Breach Report criteria are based on my own knowledge and skills relating to CoR breach procedures.

Signature:

Date:

End of document.